



2152 #2 BT  
12-13-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Stewart and Lei

Attorney Docket No.: CISC226/4185

Application No.: 10/008,091

Examiner: Not Assigned

Filed: November 9, 2001

Group: 2152

Title: METHODS AND APPARATUS FOR  
USING SCTP TO PROVIDE MOBILITY OF A  
NETWORK DEVICE

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on November 20, 2002.

Signed: \_\_\_\_\_

Joyce L. Ferreira

**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR §§1.56 AND 1.97(b)**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. CISC226/4185).

Respectfully submitted,

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<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No. <b>CISCP226/4185</b> Applicant: <b>Stewart and Lei</b> Filing Date <b>November 9, 2001</b>	Application No.: <b>10/008,091</b>  <b>RECEIVED</b> Group <b>2152</b> <b>NOV 27 2001</b>
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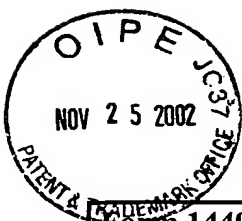
**U.S. Patent Documents****Technology Center 2100**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A1	5,473,599	12/05/95	Li, et al.			04/22/94
	A2	5,371,852	12/06/94	Attanasio, et al.			10/14/92
	A3	5,218,600	06/08/93	Schenkyr, et al.			12/26/90
	A4	5,018,133	05/21/91	Tsukakoshi, et al.			09/06/90
	A5	5,016,244	05/14/91	Massey, et al.			09/08/89
	A6	4,692,918	09/08/87	Elliott, et al.			12/17/84

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	B1	Release notes for 3Com Corporation, " <i>Conducting a Redundant Route for Network Resiliency</i> ," March 1994, <u>NET Builder Family Bridge/Router</u> Pages 26-29.
	B2	Uyless Black, " <i>TCP/IP and Related Protocols</i> ," 1992, <u>McGraw-Hill, Inc.</u> , pages 226-249
	B3	T. Li, <i>et al.</i> , RFC 2281 " <i>Cisco Hot Standby Router Protocol (HSRP)</i> ," March 1998.
	B4	Chamblless, <i>et al.</i> , " <i>Home Agent Redundancy Protocol (HARP)</i> ," October 27, 1997.
	B6	C. Perkins, " <i>IP Mobility Support</i> ," RFC2002, IBM Corporation, October 1996.
	B7	" <i>Mobile IP</i> ," Release 12.0(1)T, pp. 1-55.
	B8	Montenegro, G., " <i>Reverse Tunneling for Mobile IP</i> ," RFC 2344, Sun Microsystems, Inc., pp. 1-19, May 1998.
	B9	D. Harkins and D. Carrel, " <i>The Internet Key Exchange (IKE)</i> ," Cisco Systems, pp. 1-33, June 1998.
	B10	D. Cong and M. Hamlen, and C. Perkins, " <i>The Definitions of Managed Objects for IP Mobility Support using SMIPv2</i> ," RFC2006, Motorola and IBM, pp. 1-52, October 1996.
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449 (Modified)

**Information Disclosure  
Statement By Applicant**

(Use Several Sheets if Necessary)

Atty Docket No.

**CISCP226/4185**

Applicant:

**Stewart and Lei**

Filing Date

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Application No.:

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Group

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**Other Documents**

**Technology Center 2100**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	B11	Aboba and Beadles, "The Network Access Identifier" RFC 2486, January 1999
	B12	Calhoun and Perkins, "Mobile IP Network Access Identifier Extension", January 12, 2000
	B13	Postel, J., "Simple Mail Transfer Protocol", STD 10, RFC821, August 1982
	B14	Crocker, D. and P. Overrell, "Augmented BNF For Syntax Specifications: ABNF", RFC 2234, November 1997
	B15	Stewart <i>et al.</i> , "Stream Control Transmission Protocol", RFC 2960, October 2000, 124 pages
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.